

06-18-01

By Express Mail #EL636862176US

Attorney Docket No.: 5031-2

Check box if applicable: ☐ DUPLICATE



## UTILITY PATENT APPLICATION TRANSMITTAL

*Submit an original and a duplicate for fee processing*

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, DC 20231

Dated: June 14, 2001

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Sergio PICCIOLI

For: Method for Predictive Determination of Financial Investment Performance

Enclosed are:

- Transmittal letter (2x) with Fee Computation Sheet
- General Authorization For Payment of Fees (2x)
- Title Page, Specification, Claims 1 to 28 & Abstract (34 pages [total number of pages of application])
- Executed Declaration and Power of Attorney (2 p.)
- 10 sheet(s) of drawing(s) (Figs. 1 to 9)
- Check for \$427 for filing fee
- Small Entity Status is claimed
- Information Disclosure Statement
- PTO Form 1449 with copies ( docs.) of cited references
- Return Receipt Postcard

☐ Please charge my Deposit Account No. 03-2412 in the amount of \$. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17

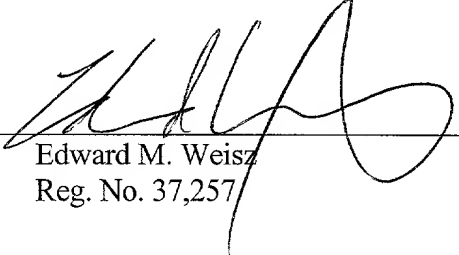
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- [x] The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- [x] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

[X] Priority is claimed for this invention and application, corresponding applications having been filed in **United States** on **June 15, 2000**, No. **60/211,650**, **United States** on **July 06, 2000**, No. **60/216,243**, respectively.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By: \_\_\_\_\_

  
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